



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/652,576	08/31/2000	Anthony W. Ulwick	9509.4824

Malin Haley & DiMaggio PA  
1936 South Andrews Avenue  
Fort Lauderdale, FL 33316



## FORMALITIES LETTER



\*OC000000005476169\*

Date Mailed: 10/16/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

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*A copy of this notice MUST be returned with the reply.*

*Tadelech Petros*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : ANTHONY W. ULWICK  
Serial No. : 09/652,576  
Filed : AUGUST 31, 2000  
Invention : **COMPUTER BASED PROCESS FOR  
STRATEGY EVALUATION AND OPTIMIZATION  
BASED ON CUSTOMER DESIRED  
OUTCOMES AND PREDICTIVE METRICS**  
Our File No. : 9509.4824

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Hon. Commissioner of Patents and Trademarks  
Attn: Application Division - Special Handling Unit  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application dated October 16, 2000, Part 2 copy enclosed, the Applicant submits herewith the Declaration and Power of Attorney, and Verified Statement (Declaration) Claiming Small Entity Status.

Enclosed is a check made payable to the Commissioner of Patents and Trademarks in the amount of \$65.00 to cover the surcharge for filing the missing part to the application for a small entity.

Any additional charges, including extensions of time, please bill our Account No. 13-1130.

Respectfully submitted,

Dale Paul DiMaggio, Esq., Reg. No. 31,823  
Malin, Haley & DiMaggio, P.A.  
1936 South Andrews Avenue  
Fort Lauderdale, Florida 33316  
(954) 763-3303



Serial Number: 09/652,576

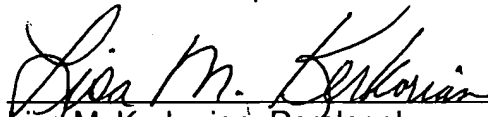
File Number: 9509.4824

**CERTIFICATE OF EXPRESS MAIL**

I HEREBY CERTIFY that the following correspondence; Response Letter to Notice to File Missing Parts of Nonprovisional Application; Part 2 of Notice to File Missing Parts of Nonprovisional Application dated October 16, 2000; Executed Verified Statement (Declaration) Claiming Small Entity Status; Executed Declaration and Power of Attorney for Patent Application; One check in the amount of \$65.00; and a Return Postcard for confirmation of receipt, is being deposited with the United States Postal Service as Express Mail No. EL619442307US, addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this 15<sup>th</sup> day of December, 2000.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

Any additional charges, including extension of time, please bill our Account No. 13-1130.

  
Lisa M. Kerkorian, Paralegal

Date: Friday, December 15, 2000

MALIN, HALEY & DiMAGGIO, P.A.  
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